


Form <b>PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office  LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)		Atty. Docket No.: EP 1021-CIP  Applicant: Kennedy, et al.  Filing Date:		Serial No.: 09/732967  Group: 1711		JCS11 U.S. 09/73296  12/03/00		
<b>U.S. PATENT DOCUMENTS</b>								
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing date if appropriate		
<i>Jan</i> <div style="border-left: 1px solid black; height: 100px; margin-left: 10px;"></div>	AA	5,578,743	Ho et al.			<div style="font-size: 4em; transform: rotate(45deg); display: inline-block;">X</div>		
	AB	5,387,664	02/07/95	Kawasaki et al.				
	AC	5,260,389	11/09/93	Resconi et al.				
	AD	5,208,304	05/04/93	Waymouth				
	AE	5,104,956	04/14/92	Waymouth				
	AF	4,605,704	08/12/86	Eastman et al.				
	AG	4,363,903	12/14/82	Yamane et al.				
	AH	4,032,594	06/28/77	Serratore et al.				
	AI	3,804,919	04/16/74	Schrage et al.				
	AJ	3,472,830	10/14/69	Baxter et al.				
	AK	3,291,782	12/13/66	Anderson et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
	Document Number	Date	Country	Class	Subclass	Translation Yes No		
	AL							
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<b>OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
✓	AR	Encyclopedia of PVC, Vol. 1, 2 <sup>nd</sup> Ed., Marcel Dekker, Inc. New York, (1986); pp. 570-605						
✓	AS	Heat Degradation of PVC Stabilized by Treatment with Alkylaluminum Compounds, Gupta and Kennedy, Makromol.Sci.-Chem., A12(10), (1978) pp. 1407-1426						
✓	AT	The Discoloration of PVC-I, Correlation Between the Dehydrochlorination and Discoloration of PVC, Levai and Ocskay, European Polymer Journal, Vol. 10, Pergamon Press (1974), pp. 1121-1125						
EXAMINER <i>Jeffrey Mull;</i> DATE CONSIDERED <i>5-02</i>								
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

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				Applicant: Kennedy, et al.			
				Filing Date:			
				Group: 1711			

U.S. PATENT DOCUMENTS							
* Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing date if appropriate	
D	AA	2,612,493	Sparks et al.				
D	AB	2,384,975	Sparks et al.				
D	AC	3,435,020	Olson				
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FOREIGN PATENT DOCUMENTS							
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
D	AR	<input checked="" type="checkbox"/> Living Carbocationic Polymerization. XXX. One-Pot Synthesis of Allyl-Terminated Linear and Tri-Arm Star Polyisobutylenes, and Epoxy- and Hydroxy-Telechelics Therefrom, Ivan and Kennedy, <u>Journal of Polymer Science: Part A: Polymer Chemistry</u> , Vol. 28, (1990), pp. 89-104
D	AS	<input checked="" type="checkbox"/> Determination of Tertiary Chlorine Structures in PVC, Buruiana, Airinei, Robila and Caraculacu, <u>Polymer Bulletin</u> 3, (1989), pp. 267-271
D	AT	<input checked="" type="checkbox"/> Graft Modification of PVC and Related Reactions, Thame and Lundberg, <u>Journal of Polymer Science: Part A-1</u> , Vol. 10, (1972), pp. 2507-2525

EXAMINER	DATE CONSIDERED	5.02
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				Applicant: Kennedy, et al.			
				Filing Date:		Group: 1711	

U.S. PATENT DOCUMENTS							
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
10	AR	✓	<i>Determination of Unsaturated Structures in PVC by Means of Fourier Transform <sup>1</sup>H-NMR Spectroscopy</i> , Caraculacu and Bezdadea, <u>Journal of Polymer Science: Polymer Chemistry Edition</u> , Vol. 15, (1977), pp. 611-620
11	AS	✓	<i>Determination of Labile Chlorine in PVC with the Aid of Phenolysis Reaction</i> , Buruiana, Robila and Caraculacu, <u>European Polymer Journal</u> , Vol. 13, (1977) pp. 21-24, Pergamon Press
12	AT	✓	<i>New Approaches to the Study of Labile Structures in PVC by Phenolysis</i> , Muangos, Martínez, and Millán, <u>European Polymer Journal</u> , Vol. 18, (1982), pp. 731-734, Pergamon Press

EXAMINER <i>Jeffrey Mullis</i>	DATE CONSIDERED <i>5-6-82</i>
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
	AR	✓	New Telechelic Polymers and Sequential Copolymers by Polyfunctional Initiator-Transfer Agents (Inifers). III. Synthesis and Characterization of a Poly( $\alpha$ -Methylstyrene- <i>b</i> -Isobutylene- <i>b</i> - $\alpha$ -Methylstyrene), Kennedy and Smith, <u>Journal of Polymer Science: Polymer Chemistry Edition</u> , Vol. 18, 1539-1546 (1980).
	AS	✓	New Telechelic Polymers and Sequential Copolymers by Polyfunctional Initiator-Transfer Agents (Inifers). XVII. Epoxy and Aldehyde Telechelic Polyisobutylenes, Kennedy, Chang, and Francik, <u>Journal of Polymer Science: Polymer Chemistry Edition</u> , Vol. 20, 2809-2817 (1982)
	AT	✓	Thermal Stability of Graft Modifications of PVC and Related Materials, Abbas, <u>Journal of Polymer Science: Polymer Chemistry Edition</u> , Vol. 13, 59-68 (1975)

EXAMINER	DATE CONSIDERED 5-82
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
	AR	✓	Cationic Grafting: The Synthesis, Characterization and Physical Properties of Poly (Vinyl Chloride-g-Isobutylene), Kennedy and Davidson, Polymer Prepr., Am. Chem. Soc., Div. Polymer Chem., (1974) 15, 209-213
	AS	✓	Poly(vinyl Chloride-g-Butyl Rubber), Kennedy and Davidson, <u>Journal of Polymer Science: Polymer Chemistry Edition</u> , Vol. 14, 153-157 (1976)
	AT	✓	Cationic Polymerization of Isobutylene from Poly (vinyl Chloride): Physical Properties of Poly(vinyl Chloride-g-Isobutylene), Kennedy and Davidson, <u>Journal of Applied Polymer Science: Applied Polymer Symposium</u> 30, 51-72 (1977)

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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
8	AR	✓	Cationic Polymerization of Isobutylene from Poly (vinyl Chloride): Physical Properties of Poly(vinyl Chloride-g-Isobutylene), Kennedy and Davidson, <u>Journal of Applied Polymer Science</u> : Applied Polymer Symposium 30, 13-49 (1977)
8	AS	✓	Mechanism and Microstructure in the Free-Radical Polymerization of vinyl Chloride: Head to Head Addition Revisited, Stames and Wojciechowski, <u>Makromol. Chem. Macromol. Symp.</u> 70/71, 1-11 (1993)
8	AT	✓	New Structural and Mechanistic Chemistry in Polymerizations of Vinyl Chloride Initiated by Di-tert-alkylmagnesiums, Benedikt et al., <u>Macromolecules</u> , 30, (1997), 10-21

EXAMINER	J. Kelly	DATE CONSIDERED	1-02
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
	AR	<input checked="" type="checkbox"/> Intramolecular Hydrogen Transfers in Vinyl Chloride Polymerization: Routes to Doubly Branched Structures and Internal Double Bonds, Starnes et al., <u>Macromolecules</u> , (1998) 31, 1508-1517
	AS	<input checked="" type="checkbox"/> Thermal Degradation of some Model Compounds for Polyvinylchloride, Airinei et al., <u>Polymer Bulletin</u> , (Berlin) (1982), 7, 465-471
	AT	<input checked="" type="checkbox"/> Formation of Anomalous Structures in PVC and Their Influence on the Thermal Stability: 2. Branch Structures and Tertiary Chlorine, Hjertberg and Sorvik, <u>Polymer</u> , (1983), 24, June, 673-684

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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
	AR	✓	Poly(Vinyl Chloride-g-Styrene): Synthesis, Characterization, and Physical Properties, Kennedy and Nakao, <u>Journal of Macromol. Sci.-Chem.</u> , A12(2), pp. 197-207 (1978)
	AS	✓	Structural Defects in Polyvinylchloride-I, Internal Unsaturation as Initiation Sites for Dehydrochlorination, Braun, Michel and Sonderhof, <u>European Polymer Journal</u> , Vol. 17, pp. 49-56, (1981), Pergamon Press,
	AT	✓	Monte Carlo Simulation of the Formation of Irregular Structures in Poly (vinyl chloride), Guyot, <u>Macromolecules</u> , (1986), 19, 1090-1096

EXAMINER <u>Jeffrey M. L.</u>	DATE CONSIDERED <u>5-02</u>
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
<div style="font-size: 2em; font-family: cursive;">8</div>	AR	<input checked="" type="checkbox"/> <i>Unsaturated End Groups in PVC-III</i> , Bezdadea, Buruiana and Carculacu, <u>European Polymer Journal</u> , (1971), Vol. 7, pp. 1649-1660, Pergamon Press
<div style="font-size: 2em; font-family: cursive;">8</div>	AS	<input checked="" type="checkbox"/> <i>On the Mechanism of the Polymerization of Poly(vinyl chloride)</i> , Caraculacu, Buruiana and Robila, <u>Journal of Polymer Science: Polymer Chemistry Edition</u> , Vol. 16, 2741-2745 (1978)
<div style="font-size: 2em; font-family: cursive;">8</div>	AT	<input checked="" type="checkbox"/> <i>Working Party on Defects in the Molecular Structure of PVC and Their Relation to Thermal Stability: General Conclusions</i> , Guyot, <u>Journal of Vinyl Technology</u> , (1985), 7(2), 92-94

EXAMINER <i>Jeffrey M. Hill</i>	DATE CONSIDERED <i>5-10-82</i>
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<b>OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
	AR	✓	<i>Effects of Stabilizers During the Thermal Treatment of PVC. II. Correlation of Thermal Stability, Discoloration, and Stabilizer Exhaustion, Czako, Plasty Kauc., (1975), 12(9), 259-261</i>					
	AS	✓	<i>Investigation of Thermal Degradation of PVC in the Solid State, Levai, Ocskay, Szebeni, Journal Macromol. Sci., Chem (1978), A12(3), 467-477</i>					
	AT	✓	<i>Colorimetric Characterization of the Discoloration of Thermally Treated PVC, Thielert, Schliemann, Figge, Agnew, Makromol. Chem. (1975), 47(1), 129-40</i>					
EXAMINER					DATE CONSIDERED			
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<b>OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	AR	✓	<i>Formation of Anomalous Structures in PVC and Their Influence on Thermal Stability-I, Endgroup Structures and Labile Chlorine Substituted by Phenol, Hjertberg and Soervik, Journal Macromol. Sci., Chem., (1982), A17(6), 983-1004</i>				
	AS	✓	<i>Organic Chemistry, 2<sup>nd</sup> Edition, John McMurry, Cornell University, Brooks/Cole Publishing Company, Pacific Grove, California, 1998</i>				
	AT	✓	<i>Designed Polymers by Carbocationic Macromolecular Engineering: Theory and Practice, J. P. Kennedy and B. Ivan, Hanser Publishers, Munich, Vienna, New York, Barcelona, Distributed in the U.S.A. and in Canada by Oxford University Press, New York, 1992</i>				
<b>EXAMINER</b> <span style="margin-left: 50px;">508805 null:</span> <b>DATE CONSIDERED</b> <span style="margin-left: 50px;">5-82</span>							
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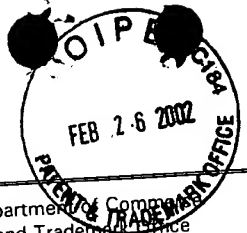
  

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
	AS		<i>Encyclopedia of Polymer Science and Engineering</i> , Volume 11, D. Freitag et al., John Wiley & Sons, pp. 648-718, 1988
	AT		

EXAMINER <i>Jeffrey Mills</i>	DATE CONSIDERED <i>5-02</i>
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Sheet 1 of 1

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EP 1021-CIP

Serial No.:  
09/732,967

Applicant:  
Joseph P. Kennedy, et al.

Filing Date:  
December 8, 2000

Group: 1711

U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing date if appropriate
J	AA	3,296,230	1/3/67	Gateff et al.			
	AB	3,896,091	7/22/75	Fabris et al.			
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

J	AR	<input checked="" type="checkbox"/>	Thermal Stability of Graft Modifications of PVC and Related Materials, ABBAS et al., <u>Journal of Polymer Science</u> , Polymer Chemistry Edition, Volume 13, (1975), pp. 59-68, John Wiley & Sons, Inc.
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Jeff Mullis

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